

## APPLICATION CHECKLIST

Client name:

Adviser / Firm name:

### Services required (please tick)

Private Portfolio       ISA / Junior ISA       SIPP       Offshore / Onshore Bond

### Paperwork included (please tick)

RCBIM Application Form       Money Laundering verification (for each Client / Director / Trustee)  
 Tax Residency Self Certification (Appendix 7 – where appropriate for Directors/Trustees)

### ISAs

1. One ISA application (Appendix 1) per client       2. One ISA Transfer Authority (Appendix 2) per ISA being transferred

### SIPPs

1. RCBIM Application signed by SIPP provider (Appendix 3)

### Bonds

1. RCBIM Application signed by Bond provider (Appendix 4 or 5)

### Existing assets (Appendix 6)

1. Transfer authority for existing manager       2. Copies of certificates / statements

### Head Office:

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