

## SCHEDULE OF FEES

### 1. Investment Management Fees (VAT may be added at the applicable rate)

| Portfolio value        | Option 1: Performance fee<br><input type="checkbox"/>   | Option 2: Conventional fee<br><input type="checkbox"/> |
|------------------------|---|--|
| £15,000 to<br>£374,999 | 0.625% pa   | 1.25% pa   |
| £375,000 and<br>over   | 0.40% pa<br><br>Plus 20% of the portfolio's<br>annual return in excess of 4% pa   | 0.80% pa   |
| Portfolio value        | AIM / IHT Portfolio Service<br><input type="checkbox"/>   |  |
| £50,000 and<br>over    | 1.25% pa<br><br>There is an initial set up charge of £500 plus VAT levied on AIM IHT portfolios in<br>addition to the standard first year ad valorem fee. |  |

#### Notes

- a) Fees are calculated calendar monthly and charged according to the total portfolio value at the month end.
- b) All fee options are INCLUSIVE of trading, custody and administration costs.
- c) Portfolios close to / at the threshold will only switch once the value has moved as follows:  
Rising portfolios will have to have gone above £385,000 before switching  
Decreasing portfolios will have to have gone below £350,000 before switching

### 2. Index-Linked option

Fixed Index-Linked fee is calculated as either Option 1 or 2, but fixed at outset and increased annually by RPI (as determined by table RP02 issued annually by the Office for National Statistics). Adjusted for inflows and outflows.

### 3. Adviser's Fees (VAT may be added at the applicable rate)

In addition to the above charges, an initial and/or ongoing service charge may be paid by you to the adviser who introduced you to us. The amount agreed between you and your adviser can be paid from your portfolio, on request.

### 4. Managed Funds

Investments in managed funds (OEICs) may be subject to charges by the underlying provider including an annual management charge. We will negotiate these on your behalf and therefore they will vary. Full details are available on request.

### 5. Other Fees (VAT may be added at the applicable rate)

We do not normally charge for reports or other work undertaken for you or your adviser, but we reserve the right to do so if we would incur unreasonable costs or are asked to act outside the normal scope of our services. On termination of this agreement we reserve the right to charge for liquidating or transferring investments out of our nominee account at £5 per line for electronic transfers and £10 per line for certificated.

All correspondence to Head Office

#### Head Office:

1 The Square, Temple Quay, Bristol BS1 6DG

tel: 0117 925 6073

#### South East Office:

The Officers' Mess, Coldstream Road, Caterham CR3 5QX

tel: 01883 283 105

fax: 0117 925 3790    email: [enquiries@rcbim.co.uk](mailto:enquiries@rcbim.co.uk)    [www.rcbim.co.uk](http://www.rcbim.co.uk)