

APPENDIX 10 - AIM / IHT PORTFOLIO SERVICE

Please photocopy this page if there is more than one applicant

Full Name:

Permanent residential address:

Postcode:

Date of birth:

National Insurance (NI) Number:

You should be able to find your NI number on a payslip, form P45 or P60, a letter from HM Revenue & Customs, a letter from the DWP or pension order book.

I apply for an AIM /IHT portfolio in the sum of:

£ _____

Due to the nature of the investments held within the portfolio, you will be classified as having a growth objective with a high risk tolerance.

Investing in AIM shares traded on the London Stock Exchange will mean that the value of assets, and any income received from them, may go down as well as up and you may not get back all the money invested. There are three main reasons why this might happen:

- 1) The actual or perceived financial standing and trading well-being of the AIM companies involved may change
- 2) The AIM shares themselves are subject to the laws of supply & demand and are capable of significant price movements irrespective of market and corporate factors. Such movements could be a reflection of the company size and marketability
- 3) The AIM market itself is capable of large movements due to economic, political and other factors

AIM is a market designed primarily for emerging smaller companies to which a higher investment risk tends to be attached than to larger or more established companies. AIM shares are not admitted to the official list of the United Kingdom Listing Authority.

Please advise us how much of your annual capital gains tax allowance (CGT), we have at our disposal. This will be used as a guide only, and could be exceeded in times of rapid market movement.

Signed:

Date:

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