

The Money Laundering Reporting Officer  
RC Brown Investment Management PLC  
1 The Square  
Temple Quay  
Bristol  
BS1 6DG

Dear Sir

**ANTI MONEY LAUNDERING ATTESTATION FROM A REGULATED ENTITY**

**Introducer's Details:**

Name of Financial Institution	
Registered Address	
Entity Type (e.g. bank, insurance company etc)	
Name of Money Laundering Reporting Officer and contact details	

**Regulatory Details:**

Name of Regulator	
Regulators Address (if not FCA)	
Regulatory Reference Number	

**Customer's Details:**

Name of Customer:	
Address:	
Date of Birth:	

Has the client ever held a prominent national or international public position, or been closely associated with anyone who has?

Yes  No

We hereby declare as follows

- The identity and the addresses of our customers have been obtained, verified and are maintained in accordance with requirements of the UK Money Laundering Regulations and EU/FATF standards currently in force and as amended in the future.
- We confirm that all such documentation will be retained on file for five years after the end of the customer's relationship with the Company.
- Certified copies of this identification documentation will be provided, when legally applied for, to law enforcement or similar status authorities (HMRC, DWP etc) or the Money Laundering Reporting Officer of RCBIM upon request.
- We confirm that we have screened the above customer(s) and they do not appear on the HM Treasury UK Consolidated Financial Sanctions List.
- We confirm that we will carry out ongoing monitoring of our client's accounts for suspicious transactions. Should we subsequently become suspicious of any such transactions then, subject to any legal constraints, we shall inform RCBIM immediately.
- We are unaware of any activities on the part of our customers which lead us to suspect any involvement in criminal conduct or Money Laundering. Should we subsequently become suspicious of any such activity then, subject to any legal constraints, we shall inform RCBIM immediately.
- The person signing this letter has knowledge of these conditions and is fully authorised to do so on behalf of the Firm.
- RCBIM reserve the right to request/inspect the documentation used in the completion of this declaration.

Yours faithfully

Signature:

Name:

Position:

Date:

**Head Office:**

1 The Square, Temple Quay, Bristol BS1 6DG

**tel:** 0117 925 6073

**fax:** 0117 925 3790    **email:** [enquiries@rcbim.co.uk](mailto:enquiries@rcbim.co.uk)    **www.rcbim.co.uk**