

## APPLICATION CHECKLIST

Client name:

Adviser / Firm name:

### Services required (please tick)

- Private Portfolio       ISA / Junior ISA       SIPP       Offshore / Onshore Bond

### Paperwork included (please tick)

- RCBIM Application Form       Money Laundering verification (for each Client / Director / Trustee)  
 Tax Residency Self Certification (Appendix 7 – where appropriate for Directors/Trustees)

### ISAs

1. One ISA application (Appendix 1) per client       2. One ISA Transfer Authority (Appendix 2) per ISA being transferred

### SIPPs

1. RCBIM Application signed by SIPP provider (Appendix 3)

### Bonds

1. RCBIM Application signed by Bond provider (Appendix 4 or 5)

### Existing assets (Appendix 6)

1. Transfer authority for existing manager       2. Copies of certificates / statements

### Head Office:

1 The Square, Temple Quay, Bristol BS1 6DG

tel: 0117 925 6073

fax: 0117 925 3790    email: [enquiries@rcbim.co.uk](mailto:enquiries@rcbim.co.uk)    [www.rcbim.co.uk](http://www.rcbim.co.uk)

Authorised and regulated by the Financial Conduct Authority. Registered Office: 1 The Square, Temple Quay, Bristol, BS1 6DG. Registered in England No. 2489639